

EMKAP AFRIKA LTD

DISCLOSURE POLICY

EMKAP Afrika Ltd (Nigerian SEC 2074)

Plot 8, Rasheed Alaba William St.,

Lekki Phase 1, Lagos, Nigeria

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DISCLOSURES FOR EMKAP AFRIKA LTD

1. About Us

EMKAP AFRIKA LTD is a registered Inter-Dealer Broker (IDB) with the Securities and Exchange Commission (SEC), Nigeria. We provide brokerage services in the e.g. fixed income, FX, derivatives markets to institutional clients.

- **RC Number:** 1760163
- **SEC Registration Number:** SEC/REMI/REG./2074/VOLI/2024
- **Office Address:** 8, Rasheed Alaba Williams Street, Lekki, Eti-Osa LGA, Lagos State
- **Phone/Email:** [+234-907-222-2111](tel:+2349072222111)/compliance@emkapafrika.com

1. Our Services

We offer institutional broking and execution support in:

- Government and corporate bonds
- Treasury bills and money market instruments
- Foreign exchange and derivatives

We serve only eligible counterparties and do not provide services to the general public.

2. Regulatory & Legal Disclosures

Regulatory Status

- Registered with the Securities and Exchange Commission of Nigeria
- Compliant with SEC Rules for Capital Market Operators
- Member of FMDQ

3. Risk Disclosures

Trading in fixed income, currency, or derivatives instruments involves significant risk and may not be suitable for all institutional investors.

EMKAP AFRIKA LTD does not offer investment advice. Counterparties should conduct their own due diligence before entering into transactions.

All transactions are governed by our Terms of Business and standard market conventions.

4. Terms & Policies

- Privacy Policy
- Terms of Use
- Complaints Management Policy (in line with SEC Rule 9)
- Anti-Money Laundering (AML) Summary Policy
- Conflict of Interest Statement
- Whistleblowing Contact Channel: compliance@emkapafrika.com

5. Contact & Whistleblowing

- **General Enquiries:** info@emkapafrika.com
- **Compliance & Complaints:** compliance@emkapafrika.com
- **Whistleblowing Channel:** compliance@emkapafrika.com (Handled by Compliance Officer)

We encourage internal and external stakeholders to report any unethical or suspicious activity.